State of Rhode Island and Providence Plantations Department of Administration Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number:

7551050

Solicitation Title:

ALEX & ANI ARTS CENTER CONCRETE REPAIR - RIC (28 PGS) AND 1 ZIP FILE

Bid Proposal Submission

Deadline Date & Time:

11/14/2016

2:00 PM

RIVIP Vendor ID #:

25220

Bidder Name:

ADS Construction, Inc.

Address:

300 Wampanoag Trail

East Providence, RI 02915

USA

Telephone:

(401) 431-1228

Fax:

(401) 431-0617

Contact Name:

Anthony L. DePasquale

Contact Title:

President

Contact Email:

adepasquale@adsconst.com

SECTION 2 - DISCLOSURES

<u>Bidders must respond to every statement.</u> Bid proposals submitted without a complete response may be deemed <u>nonresponsive.</u>

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below

- State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
- N State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

	corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
Disclos	sure details (continue on additional sheet if necessary):
	SECTION 3 - OWNERSHIP DISCLOSURE
Bidde	s must provide all relevant information. Bid proposals submitted without a complete response may be deemed
nonre	sponsive.
If the B that ho	idder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners d at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.
List ead compai occupa	ch officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent by and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal tion, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent by and the ultimate parent company of the bidder.
oompai	Anthony L. DePasquale, President
576	Anthony E. Ber asquale, Freshaent
	SECTION 4 - CERTIFICATIONS
Bidder nonres	s must respond to every statement. Bid proposals submitted without a complete response may be deemed sponsive.
Indicate	"Y" (Yes) or "N" (No), and if "No," provide details below.
THE BII	DDER CERTIFIES THAT:
<u>Y</u>	 The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
	2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
	3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
<u>Y</u> 2	I. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.

5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

		remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.					
Y	6.	This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.					
<u>Y</u>	 The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engain investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran. 						
<u>Y</u>	8.	The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.					
Certific	catio	on details (continue on additional sheet if necessary):					
	Nauda						
7							
13. 							
0 							
Subm	ice	sion by the Didder of a hid manned by the did not be a first to the di					
Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.							
		BIDDER					
Date:		ADS Construction, Inc. Name of Bidder Signature in ink Anthony L. DePasquale, President Printed name and title of person signing on behalf of Bidder					
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Solicitation #: 7551050

Solicitation Title: Rhode Island College - RIC Arts Center Concrete Floor Repairs

BID FORM

To:

The State of Rhode Island Department of Administration

Division of Purchases, 2nd Floor

One Capitol Hill, Providence, RI 02908-5855

Bidder:

ADS Construction, Inc.

Legal name of entity

300 Wampanoag Trail, East Providence RI 02915

Address (street/city/state/zip)

Anthony L. DePasquale adepasquale@adsconst.com

Contact name

Contact email

(401) 431-1228

(401) 431-0617 Contact telephone Contact fax

1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (including the costs for all Allowances, Bonds, and Addenda):

160,250.00

(base bid price in figures printed electronically, typed, or handwritten legibly in ink)

One Hundred Sixty Tousand Two Hundred Fifty Dollars

(base bid price in words printed electronically, typed, or handwritten legibly in ink)

Allowances

The Base Bid Price includes the costs for the following Allowances:

1. Allowance #1: \$5,000 Concealed Conditions Allowance

Bonds

The Base Bid Price includes the costs for all Bid and Payment and Performance Bonds required by the solicitation.

Addenda

The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price includes the costs of any modifications required by the Addenda.

All Addenda must be acknowledged.

Solicitation #: 7551050 Solicitation Title: Rhode Island College - RIC Arts Center Concrete Floor Repairs Addendum No. 1 dated: 10/31/16 Addendum No. 2 dated: 11/1/16 Addendum No. 3 dated: Addendum No. 4 dated: 2. ALTERNATES (Additions/Subtractions to Base Bid Price) The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected. 1. Alternate 1: High Gloss Finish Add: x Subtract: \$ 6,700.00 (base bid price in figures printed electronically, typed, or handwritten legibly in ink) Six Thousand Seven Hundred Dollars (base bid price in words printed electronically, typed, or handwritten legibly in ink) 3. UNIT PRICES The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include all costs,

including labor, materials, services, regulatory compliance, overhead, and profit.

Crack Repair	\$	6.00	per linear foot
2. Concrete polish to Semi-Gloss Finish	_\$	9.00	per square foot
3. Concrete Polish to High Gloss Finish	_\$	9.60	per square foot

4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

Start of construction:

Within 7 days of issued PO

Substantial completion:

January 12, 2017

Final completion:

January 15, 2017

5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following

Solicitation #: 7551050

Solicitation Title: Rhode Island College - RIC Arts Center Concrete Floor Repairs

amount for <u>each</u> calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: <u>\$250/day</u>.

Two Hundred and Fifty dollars per calendar day.

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

	DIDDLIK
Date:11/14/16	ADS Construction, Inc.
	Name of Bidder
	Signature in ink
	Anthony L. DePasquale, President
	Printed name and title of person signing on behalf of Bidder
	# _18780
	Bidder's Contractor Registration Number



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex Cranston, RI 02920-4407

1511 Pontiac Avenue

Telephone: TTY:

(401) 462-8000 Via RI Relay 711

Lincoln D. Chafee Governor Charles J. Fogarty Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.

CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

Title: Anthony L. DePasquale, President

Subscribed and sworn before me this 14day of Nov , 2016

Notary Public Darlene C. DeTroia My commission expires: 1/28/18

An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities. TTY via RI Relay 711

FORM W-9 REV 8/15

STATE OF RHODE ISLAND FORM W-9 PAYER'S REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND CERTIFICATION



THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$50 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (SSN) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.

Taxpayer Identification Number (T.I.N.)									
Enter your taxpayer identification number in the appropriate box. For most individuals,	Security No. (SSN)	Employer ID No. (EIN)							
this is your social security number.		05 0544000							
		05 0511208							
NAME ADS Construction, Inc.									
ADDRESS 300 Wampanoag Trail									
CITY, STATE AND ZIP CODE East Providence, RI 02915									
PAYMENT REMITTANCE ADDRESS, IF DIFFERENT FROM THE ADDRESS ABOVE									
ADDRESS									
CITY, STATE AND ZIP CODE									
CERTIFICATION: Under penalties of perjury, I certify that: (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because either: (A) I am exempt from backup withholding, or (B) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (C) the IRS has notified me that I am no longer subject to backup withholding. (3) I am a U.S. citizen or other U.S. person (as defined by the IRS).									
<u>Certification Instructions</u> You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item (2) does not apply.									
Please sign here and provide title, date and telepho	one number:								
SIGNATURE Office Office Signature Required (Digital Signature	TITLE President	DATE 11/14/16	TEL NO(401) 431-1228						
BUSINESS DESIGNATION:	Trot Tobapidasia)								
	tion ☑ Trust/Estate	☐ Government/Nonpr	ofit Corporation						
S.	Services Corporation	Legal Services Con	(Angles & Consistence						
	e Member (Individual)		orporation						
TIPS: NAME: Be sure to enter your full and correct legal name as shown on your income tax return for the SSN or EIN provided. ADDRESS, CITY, STATE AND ZIP CODE: If you operate a business at more than one location, adhere to the following: Same EIN with more than one location attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed. Different EIN for each different location submit a completed W-9 form for each EIN and location. (One year-end tax information return will be reported for each EIN and remittance address.)									
Mail Completed Form To: Supplier Coordinator Purchasing Department One Capitol Hill, 2nd Floor Providence RI 02908	IF	or State Use Only: RS RI SOS FED II Supplier #							
Or Email To: doa.pursuppliercoordinator@purcha	sing ri gov	Pate Entered							

THE AMERICAN INSTITUTE OF ARCHITECTS



AIA Document A310

Bid Bond

KNOW ALL MEN BY THESE PRESENTS, that we ADS CONSTRUCTION, INC., 300 Wampanoag Trail, East Providence, Rhode Island

as Principal, hereinafter called the Principal, and THE OHIO CASUALTY INSURANCE COMPANY a corporation duly organized under the laws of the State of New Hampshire as Surety, hereinafter called the Surety, are held and firmly bound unto STATE OF RHODE ISLAND

as Obligee, hereinafter called the Obligee, in the sum of Five Percent of the Amount of the Attached Bid Dollars (5% of Bid),

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid for RIC Arts Center Concrete Floor Repairs

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 14th day of November, 2016.

ADS CONSTRUCTION, INC.

(Principal)

(Seal)

THE OHIO CASUALTY INSURANCE COMPANY

(Surety)

Denise A. Chianese, (Title)

Attorney-in-Fact

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7331626

American Fire and Casualty Company The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute Charles A. Byrne; David J. Byrne III; Denise A. Chianese

all of the city of East Providence, state of RI each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed day of April 2016 thereto this 18th

1917

STATE OF PENNSYLVANIA COUNTY OF MONTGOMERY

rate, interest rate or residual value guarantees.

currency

Not valid for mortgage, note, loan, letter of credit,

American Fire and Casualty Company The Ohio Casualty Insurance Company Liberty Mutual Insurance Company West American Insurance Company

On this 18th day of April 2016, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written. COMMONWEALTH OF PENNSYLVANIA

Notarial Seal Teresa Pastella, Notary Public Plymouth Twp., Montgomery County My Commission Expires March 28, 2017

Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-infact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this





Gregory W. Davenport, Assistant Secretary